

01-30-06

ZTW #



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>		Application Number	10/765,332
		Filing Date	January 26, 2004
		First Named Inventor	Takashi IKEDA et al.
		Art Unit	3621
		Examiner Name	Evens J. Augustin
Total Number of Pages in This Submission		Attorney Docket Number	393032043200

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MORRISON & FOERSTER LLP (CN 25224) 555 West Fifth Street, Los Angeles, CA 90013		
Signature			
Printed name	Mehran Arjomand		
Date	January 26, 2006	Reg. No.	48,231

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV781674137US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: January 26, 2006

Signature:

(Marco Jimenez)



<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b>		<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		Application Number	10/765,332
		Filing Date	January 26, 2004
		First Named Inventor	Takashi IKEDA et al.
		Examiner Name	Evens J. Augustin
		Art Unit	3621
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	393032043200
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>(\$)</b>	<b>1,020.00</b>

<b>METHOD OF PAYMENT</b> (check all that apply)	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Money Order	<input type="checkbox"/> None
<input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account	Deposit Account Number: <u>03-1952</u> Deposit Account Name: <u>Morrison &amp; Foerster LLP</u>
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		
		<b>Small Entity</b>		<b>Small Entity</b>		<b>Small Entity</b>	
<b>Application Type</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fees Paid (\$)</b>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
<b>Fee Description</b>							<b>Small Entity</b>
							<b>Fee (\$)</b>
Each claim over 20 (including Reissues)							50
Each independent claim over 3 (including Reissues)							200
Multiple dependent claims							360
							180
<b>Total Claims</b>							
<u>18</u> - 20 = <u>0</u> x <u>    </u> = <u>0</u>							
<b>Extra Claims</b>							
<u>0</u> x <u>    </u> = <u>0</u>							
<b>Fee Paid (\$)</b>							
<u>0</u>							
<b>Multiple Dependent Claims</b>							
<b>Fee (\$)</b>							
<u>0</u>							
<b>Fee Paid (\$)</b>							
<u>0</u>							
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<b>Total Sheets</b>		<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>		<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	
<u>    </u> - 100 = <u>    </u>		<u>    </u>	<u>    </u> / 50 (round up to a whole number) x <u>    </u>		<u>    </u>	<u>    </u>	
<b>4. OTHER FEE(S)</b>							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 1253 Extension for response within third month							1,020.00

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	48,231
Name (Print/Type)	Mehran Arjomand	Telephone	(213) 892-5630
		Date	January 26, 2006

Express Mail - EV781674137US

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV781674137US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: January 26, 2006

Signature: \_\_\_\_\_

(Marco Jimenez)

Docket No.: 393032043200

Client reference: H8038US

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Takashi IKEDA et al.

Application No.: 10/765,332

Filed: January 26, 2004

For: APPARATUS FOR REPRODUCING SONG  
DATA WITH LIMITATION DEPENDENT ON  
PREVIEW OR PURCHASE



Confirmation No.: 9951

Examiner: Evens J. Augustin

Art Unit: 3621

**REQUEST FOR ISSUANCE OF OFFICIAL FILING RECEIPT**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The above-identified patent application was filed with the U.S. Patent and Trademark Office on January 26, 2004. As of this date, Applicants have not received an official Filing Receipt for this application. For your convenience, enclosed is a printout of the USPTO indices for the "File History" and the "Image File Wrapper" which do not show that an official Filing Receipt was issued by the USPTO. Applicants therefore respectfully request the issuance of an official Filing Receipt.

Dated: January 26, 2005

Respectfully submitted,

By \_\_\_\_\_

Mehran Arjomand

Registration No.: 48,231

MORRISON & FOERSTER LLP  
555 West Fifth Street, Suite 3500  
Los Angeles, California 90013  
(213) 892-5200

Enclosure:

USPTO File History Index

USPTO Image File Wrapper Index

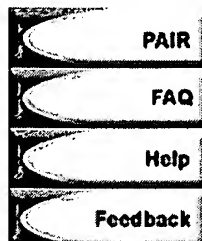
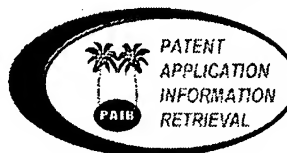
la-840674



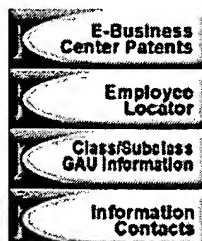
## United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [FAQ](#) | [Glossary](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)

## PATENT APPLICATION INFORMATION RETRIEVAL



## Other Links



Printer Friendly Version

Search results as of: 1-26-2006::15:53

Search results for application number:10/765,332			
Application Number:	10/765,332	Customer Number:	25224
Filing or 371(c) Date:	01-26-2004	Status:	Non Final A Mailed
Application Type:	Utility	Status Date:	07-26-2005
Examiner Name:	AUGUSTIN, EVENS J	Location:	ELECTRONI
Group Art Unit:	3621	Location Date:	-
Confirmation Number:	9951	Earliest Publication No:	US 2004-015 A1
Attorney Docket Number:	393032043200	Earliest Publication Date:	08-12-2004
Class/ Sub-Class:	705/051	Patent Number:	-
First Named Inventor:	Takashi Ikeda, Hamamatsu-shi, (JP)	Issue Date of Patent:	-
Title Of Invention:	Apparatus for reproduction song data with limitation depend preview or purchase		

Select Search Option

Assignments

Display References

Foreign Priority

Image File Wrapper

Publication Review

Published Documents

Search

File History	
Date	Contents Description
07-26-2005	Mail Non-Final Rejection
07-21-2005	Non-Final Rejection
05-12-2005	IFW TSS Processing by Tech Center Complete
05-12-2005	Case Docketed to Examiner in GAU
01-26-2004	Request for Foreign Priority (Priority Papers May Be Included)
01-26-2004	Reference capture on IDS
01-26-2004	Information Disclosure Statement (IDS) Filed
02-14-2005	Transfer Inquiry to GAU
05-03-2004	Application Return from OIPE
05-03-2004	Application Is Now Complete
05-03-2004	Application Return TO OIPE
05-03-2004	Application Return from OIPE
05-03-2004	Application Return TO OIPE

05-01-2004	Application Dispatched from OIPE
05-03-2004	Application Is Now Complete
03-10-2004	Cleared by OIPE CSR
02-04-2004	IFW Scan & PACR Auto Security Review
01-26-2004	Initial Exam Team nn

---

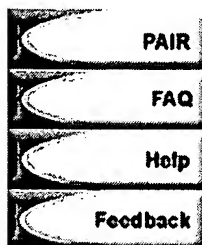
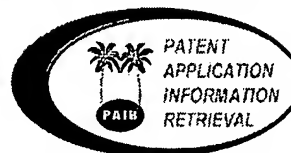
[|.HOME|](#)[INDEX|](#)[SEARCH|](#)[eBUSINESS|](#)[CONTACT US|](#)[PRIVACY STATEMENT](#)



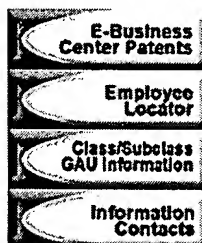
United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [FAQ](#) | [Glossary](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)

## PATENT APPLICATION INFORMATION RETRIEVAL



### Other Links



### Image File Wrapper for Application No.:10/765,332

NET

This application is officially maintained in electronic form. To View: Click the desired Document Description, click on the desired document(s) and click Download.

Mail Room Date	Document Description	Document Category
07/26/2005	<a href="#">Non-Final Rejection</a>	PROSECUTION
07/26/2005	<a href="#">List of References cited by applicant and considered by examiner</a>	PROSECUTION
07/26/2005	<a href="#">List of references cited by examiner</a>	PROSECUTION
07/26/2005	<a href="#">Index of Claims</a>	PROSECUTION
07/26/2005	<a href="#">Bibliographic Data Sheet</a>	PROSECUTION
07/26/2005	<a href="#">Search information including classification, databases and other search related notes</a>	PROSECUTION
07/14/2005	<a href="#">Examiner's search strategy and results</a>	PROSECUTION
01/26/2004	<a href="#">Information Disclosure Statement (IDS) Filed</a>	PROSECUTION
01/26/2004	<a href="#">Foreign Priority Papers Filed</a>	PROSECUTION
01/26/2004	<a href="#">Fee Worksheet (PTO-875)</a>	PROSECUTION
01/26/2004	<a href="#">Fee Worksheet (PTO-875)</a>	PROSECUTION
01/26/2004	<a href="#">Transmittal letter</a>	PROSECUTION
01/26/2004	<a href="#">Specification</a>	PROSECUTION
01/26/2004	<a href="#">Claims</a>	PROSECUTION
01/26/2004	<a href="#">Abstract</a>	PROSECUTION
01/26/2004	<a href="#">Drawings</a>	PROSECUTION
01/26/2004	<a href="#">Oath or Declaration filed</a>	PROSECUTION
01/26/2004	<a href="#">Application Data Sheet</a>	PROSECUTION

Sorted By : Mail Room Date

[HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)